

1632

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): **Peter A. Koopman, et al.**

Docket No.

10981AZ

Serial No.

09/910,087

Filing Date

July 20, 2001

Examiner

D. Nguyen

Group Art Unit

1632

Invention: **SOX2 GENE AND PROTEIN AND USE IN THE REGENERATION OF BONE OR CARTILAGE**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

TECH CENTER 1600/2900

Transmitted herewith is an amendment in the above-identified application.

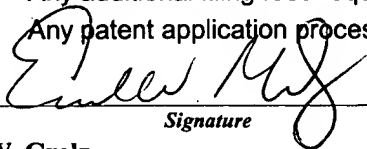
- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	12 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	6 -	6 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

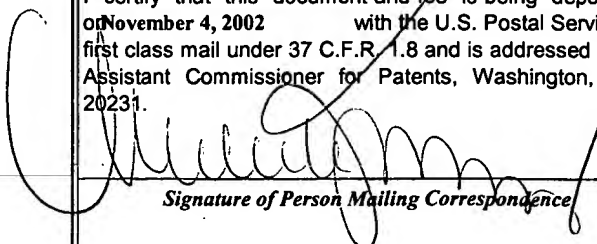
- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **19-3886/RCT**
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.


Signature

Dated: **November 4, 2002**

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I certify that this document and fee is being deposited on **November 4, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

cc:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peter A. Koopman, et al.

Examiner: D. Nguyen

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Serial No.: 09/910,087

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For: SOX-9 GENE AND PROTEIN AND
USE IN THE REGENERATION OF
BONE OR CARTILAGE

Dated: November 4, 2002

#5

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE UNDER 37 C.F.R. §§ 1.111 and 1.143

Sir:

In response to the Office Action dated October 2, 2002, setting forth a requirement for restriction and in accordance with the provisions of 37 C.F.R. §§ 1.111 and 1.143, Applicants provisionally elect, with traverse, Group II, Claims 8 and 14-16, drawn to a gene therapy method by employing SEQ ID NO: 20 or homologous sequences having at least 79% sequence identity to SEQ ID NO: 20.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on November 4, 2002.

Dated: November 4, 2002


Michelle Mustafa